SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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		Table I - Non-De	rivative Securities Acquired, Disposed of, or Bene	ficially (Owned		
(City)	(State)	(Zip)					
RAMSEY		07446			Form filed by More than One Reporting Person		
(Street)				X	Form filed by One Re	porting Person	
405 STATE K	OUIE 1/		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filir	ng (Check Applicable	
		С.					
(Last)	· · ·	. ,	3. Date of Earliest Transaction (Month/Day/Year) 03/13/2019		below)	below)	
					Officer (give title	Other (specify	
	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>ADMA BIOLOGICS, INC.</u> [ADMA]		tionship of Reporting Pe all applicable) Director	rson(s) to Issuer	

1. Title of Security (Instr. 3)	Date (Month/Day/Year)	if any 🤺	3. Transa Code (8)	ction	Disposed Of (D) (Instr. 3, 4 and			Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	 Reported Transaction(s) (Instr. 3 and 4) 		(1150.4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numb of Derivati Securiti Acquire (A) or Dispose of (D) (II 3, 4 and	ve es d ed nstr.	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$3.89	03/13/2019		A		30,000		(1)	03/13/2029 ⁽²⁾	Common Stock	30,000	\$0	30,000	D	

Explanation of Responses:

1. The option vests in twelve equal monthly installments, becoming fully vested on the one-year anniversary of the date of grant.

2. The option terminates on the earlier of (i) March 13, 2029 and (ii) the one-year anniversary of the reporting person's ceasing to serve as a director of the Issuer.

/s/ Lawrence P. Guiheen, by Brian Lenz as Attorney-in-fact Date

03/15/2019

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.