SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287

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Estimated average burden

hours per response:

)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Sec	ction 30(n) of the In-	vestment Corr	ipany Act of 1940							
1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol ADMA BIOLOGICS, INC. [ADMA]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
GOLDSTEIN DOV A MD					<u>,</u>		X	Director	10% 0	Dwner			
1	(First) IOLOGICS, INC.	(Middle)	3. Date 03/13/	of Earliest Transac 2019	tion (Month/D	ay/Year)		Officer (give title below)	Other below	(specify)			
465 STATE ROUTE 17				endment, Date of C	Driginal Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)						
(Street)							X	Form filed by One	Reporting Pers	on			
RAMSEY	NJ	07446						Form filed by Mor Person	e than One Rep	orting			
(City)	(State)	(Zip)											
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)		5. Amount of Securities Beneficially Owned Following Benoted	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Code

Amount

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$3.89	03/13/2019		A		30,000		(1)	03/13/2029 ⁽²⁾	Common Stock	30,000	\$0	30,000	D	

Explanation of Responses:

1. The option vests in twelve equal monthly installments, becoming fully vested on the one-year anniversary of the date of grant.

2. The option terminates on the earlier of (i) March 13, 2029 and (ii) the one-year anniversary of the reporting person's ceasing to serve as a director of the Issuer.

/s/ Dov A. Goldstein, MD, by Brian Lenz as Attorney-in-fact

03/15/2019

** Signature of Reporting Person

Date

Transaction(s) (Instr. 3 and 4)

(A) or (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.